

**Return of Organization Exempt From Income Tax**

**2000**

Under section 501(c) of the Internal Revenue Code (except black lung benefit trust or private foundation), section 527, or section 4947(a)(1) nonexempt charitable trust

**Open to Public Inspection**

Department of the Treasury  
Internal Revenue Service

The organization may have to use a copy of this return to satisfy state reporting requirements.

**A** For the 2000 calendar year, or tax year period beginning June 1, 2000, and ending May 31, 20

- B** Check if applicable:
- Change of address
  - Change of name
  - Initial return
  - Final return
  - Amended return

Please use IRS label or print or type. See Specific Instructions.

**C** Name of organization  
Santa Clara Valley Audubon Society  
Number and street (or P.O. box if mail is not delivered to street address) Room/suite  
22221 McClelland Road  
City or town, state or country, and ZIP code  
Cupertino CA 95014-4030

**D** Employer identification number  
94: 6081420  
**E** Telephone number  
(408) 2523747  
**F** Check  if application pending

**G** Organization type (check only one)  501(c)(3) (insert no.)  527 or  4947(a)(1)

Section 501(c)(3) organizations and 4947(a)(1) nonexempt charitable trusts must attach a completed Schedule A (Form 990 or 990-EZ).

**J** Accounting method:  Cash  Accrual  Other (specify) \_\_\_\_\_

**K** Check here  if the organization's gross receipts are normally not more than \$25,000. The organization need not file a return with the IRS; but if the organization received a Form 990 Package in the mail, it should file a return without financial data. Some states require a complete return.

Note: H and I are not applicable to section 527 orgs.  
**H(a)** Is this a group return for affiliates?  Yes  No  
**H(b)** If "Yes," enter number of affiliates \_\_\_\_\_  
**H(c)** Are all affiliates included?  Yes  No  
(If "No," attach a list. See inst.)  
**H(d)** Is this a separate return filed by an organization covered by a group ruling?  Yes  No  
**I** Enter 4-digit group exemption no. (GEN) \_\_\_\_\_  
**L** Check this box if the organization is not required to attach Schedule B (Form 990 or 990-EZ)

**Part I Revenue, Expenses, and Changes in Net Assets or Fund Balances** (See Specific Instructions on page 16.)

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Revenue					
1	Contributions, gifts, grants, and similar amounts received:				
a	Direct public support	1a	152,815		
b	Indirect public support	1b	2,221		
c	Government contributions (grants)	1c	0		
d	Total (add lines 1a through 1c) (cash \$ <u>152,546</u> noncash \$ <u>1,820</u> )	1d		155,036	
2	Program service revenue including government fees and contracts (from Part VII, line 93)	2		7,793	
3	Membership dues and assessments	3			
4	Interest on savings and temporary cash investments	4		11,277	
5	Dividends and interest from securities	5		38,110	
6a	Gross rents	6a			
b	Less: rental expenses	6b			
c	Net rental income or (loss) (subtract line 6b from line 6a)	6c			
7	Other investment income (describe <u>Unrealized loss on securities</u> )	7		-28,580	
8a	Gross amount from sales of assets other than inventory	(A) Securities	88,278	8a	
b	Less: cost or other basis and sales expenses	(B) Other		8b	
c	Gain or (loss) (attach schedule)		84,541	8c	
d	Net gain or (loss) (combine line 8c, columns (A) and (B))		3,737	8d	3,737
9	Special events and activities (attach schedule)				
a	Gross revenue (not including \$ _____ of contributions reported on line 1a)	9a			
b	Less: direct expenses other than fundraising expenses	9b			
c	Net income or (loss) from special events (subtract line 9b from line 9a)	9c		0	
10a	Gross sales of inventory, less returns and allowances	10a	36,107		
b	Less: cost of goods sold	10b	21,058		
c	Gross profit or (loss) from sales of inventory (attach schedule) (subtract line 10b from line 10a)	10c		15,049	
11	Other revenue (from Part VII, line 103)	11		58	
12	Total revenue (add lines 1d, 2, 3, 4, 5, 6c, 7, 8d, 9c, 10c, and 11)	12		202,480	
<b>Expenses</b>					
13	Program services (from line 44, column (B))	13		152,107	
14	Management and general (from line 44, column (C))	14		66,308	
15	Fundraising (from line 44, column (D))	15		9,730	
16	Payments to affiliates (attach schedule)	16			
17	Total expenses (add lines 13 through 16)	17		228,145	
<b>Net Assets</b>					
18	Excess or (deficit) for the year (subtract line 17 from line 12)	18		-25,665	
19	Net assets or fund balances at beginning of year (from line 73, column (A))	19		759,959	
20	Other changes in net assets or fund balances (attach explanation) <u>Prior Yr. Error</u>	20		-40	
21	Net assets or fund balances at end of year (combine lines 18, 19, and 20)	21		734,254	

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**Part II Statement of Functional Expenses**

All organizations must complete column (A). Columns (B), (C), and (D) are required for section 501(c)(3) and (4) organizations and section 4947(a)(1) nonexempt charitable trusts but optional for others. (See Specific Instructions on page 18.)

Do not include amounts reported on line 6b, 8b, 9b, 10b, or 16 of Part I.	(A) Total	(B) Program services	(C) Management and general	(D) Fundraising
2 Grants and allocations (attach schedule) (cash \$ 8,050 noncash \$ )	22 8,050	8,050		
3 Specific assistance to individuals (attach schedule)	23 0			
4 Benefits paid to or for members (attach schedule)	24 0			
5 Compensation of officers, directors, etc.	25 44,583	34,433	5,075	5,075
6 Other salaries and wages	26 73,804	37,011	36,793	0
7 Pension plan contributions	27 0			
8 Other employee benefits	28 5,206	3,272	1,597	337
9 Payroll taxes	29 9,392	5,707	3,286	399
0 Professional fundraising fees	30 0			
1 Accounting fees	31 1,723		1,723	
2 Legal fees	32 9,570	9,570		
3 Supplies	33 7,635	3,791	3,365	479
4 Telephone	34 3,752	1,754	1,915	84
5 Postage and shipping	35 7,836	4,658	1,766	1,412
6 Occupancy	36 5,753	5,372	143	238
7 Equipment rental and maintenance	37 3,445	2,438	956	51
8 Printing and publications	38 23,702	17,929	4,677	1,096
9 Travel	39 0			
0 Conferences, conventions, and meetings	40 2,589	2,589		
1 Interest	41 0			
2 Depreciation, depletion, etc. (attach schedule)	42 2,955	830	2,125	
3 Other expenses (itemize): a misc	43a 150	66	84	
b premiums and prizes, food, entertainment	43b 1,026	528		498
c insurance	43c 2,521	1,007	1,453	61
d recruitment	43d 1,351		1,351	
e books, subscription	43e 2,310	2,310		
f professional fees	43f 10,793	10,793		
4 Total functional expenses (add lines 22 through 43). Organizations completing columns (B) - (D), carry these totals to lines 13 - 15	44 228,145	152,107	66,308	9,730

**Reporting of Joint Costs.** Did you report in column (B) (Program services) any joint costs from a combined educational campaign and fundraising solicitation?  Yes  No  
 "Yes," enter (i) the aggregate amount of these joint costs \_\_\_\_\_; (ii) the amount allocated to Program services \_\_\_\_\_; (iii) the amount allocated to Management and general \_\_\_\_\_; (iv) the amount allocated to Fundraising \_\_\_\_\_.

**Part III Statement of Program Service Accomplishments**

(See Specific Instructions on page 22.)

What is the organization's primary exempt purpose? Organizations must describe their exempt purpose achievements in a clear and concise manner. State the number of clients served, publications issued, etc. Discuss achievements that are not measurable. (Section 501(c)(3) and (4) organizations and 4947(a)(1) nonexempt charitable trusts must also enter the amount of grants and allocations to others.)	Program Service Expenses (Required for 501(c)(3) and (4) orgs. and 4947(a)(1) trusts, but optional for others.)
a Newsletters - The Society produces a monthly newsletter "The Avocet" sent to over 4000 members, and a second annual publication "Creekside News" sent to about 1000 individuals, libraries, and local governments. These discuss activities and give information on local & national environmental issues with emphasis on birds and bird habitats. (Grants and allocations \$	22,163
b Environmental Education - The Society distributes environmental workbooks to 24 elementary school classrooms throughout Santa Clara County. It sponsored the Wildlife Education Day education events at its park hq with 300 attendees, children's groups on tours of wetlands - 350 attendees. It has field trips for its members, public meetings, and a nature library. (Grants and allocations \$	40,578
c Conservation and Environmental Action - The Society contribute funds and volunteer services and support to a variety of environmental activities including coastal and creek cleanups, a bluebird recovery program, burrowing owl protection. It supports environmental advocacy and the legal defense of environmental laws. (Grants and allocations \$	8,050 89,366
d _____ (Grants and allocations \$	
e Other program services (attach schedule) (Grants and allocations \$	
f Total of Program Service Expenses (should equal line 44, column (B), Program services)	152,107

Part IV Balance Sheets

(See Specific Instructions on page 22.)

Note: Where required, attached schedules and amounts within the description column should be for end-of-year amounts only.

		(A)		(B)
		Beginning of year		End of year
<b>Assets</b>				
45	Cash - non-interest-bearing	11,519	45	12,944
46	Savings and temporary cash investments	222,810	46	228,497
17a	Accounts receivable	509		
	b Less: allowance for doubtful accounts	0	47c	509
18a	Pledges receivable			
	b Less: allowance for doubtful accounts	0	48c	0
49	Grants receivable	0	49	0
50	Receivables from officers, directors, trustees, and key employees (attach schedule)	0	50	0
51a	Other notes and loans receivable (attach schedule)	0		
	b Less: allowance for doubtful accounts	0	51c	0
52	Inventories for sale or use	7,175	52	11,129
53	Prepaid expenses and deferred charges		53	
54	Investments - securities (attach schedule) <input type="checkbox"/> cost <input checked="" type="checkbox"/> FMV	513,796	54	476,455
55a	Investments - land, buildings, and equipment: basis			
	b Less: accumulated depreciation (attach schedule)		55c	0
56	Investments - other (attach schedule)	0	56	0
57a	Land, buildings, and equipment: basis	13,644		
	b Less: accumulated depreciation (attach schedule)	6,911	57c	6,733
58	Other assets (describe rental deposits)	326	58	0
59	Total assets (add lines 45 through 58) (must equal line 74)	762,314	59	736,267
<b>Liabilities</b>				
60	Accounts payable and accrued expenses	2,355	60	812
61	Grants payable		61	
62	Deferred revenue		62	1,200
63	Loans from officers, directors, trustees, and key employees (attach schedule)		63	
64a	Tax-exempt bond liabilities (attach schedule)		64a	
	b Mortgages and other notes payable (attach schedule)		64b	
65	Other liabilities (describe)	0	65	0
66	Total liabilities (add lines 60 through 65)	2,355	66	2,012
<b>Net Assets or Fund Balances</b>				
Organizations that follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 67 through 69 and lines 73 and 74.				
67	Unrestricted	727,308	67	718,810
68	Temporarily restricted	32,651	68	15,444
69	Permanently restricted		69	
Organizations that do not follow SFAS 117, check here <input type="checkbox"/> and complete lines 70 through 74.				
70	Capital stock, trust principal, or current funds		70	
71	Paid-in or capital surplus, or land, bldg., and equipment fund		71	
72	Retained earnings, accumulated income, endowment, or other funds		72	
73	Total net assets or fund balances (add lines 67 through 69 OR lines 70 through 72; column (A) must equal line 19 and column (B) must equal line 21)	759,959	73	734,254
74	Total liabilities and net assets/fund balances (add lines 66 and 73)	762,314	74	736,266

Form 990 is available for public inspection and, for some people, serves as the primary or sole source of information about a particular organization. How the public perceives an organization in such cases may be determined by the information presented on its return. Therefore, please make sure the return is complete and accurate and fully describes, in Part III, the organization's programs and accomplishments.

**Part IV-A Reconciliation of Revenue per Audited Financial Statements with Revenue per Return**

<i>(Specific Instructions, page 24.)</i>	
a Total revenue, gains, and other support per audited financial statements	a 202,481
b Amounts included on line a but not on line 12, Form 990:	
(1) Net unrealized gains on investments	
(2) Donated services and use of facilities	
(3) Recoveries of prior year grants	
(4) Other (specify):	
Add amounts on lines (1) thru (4)	b 0
c Line a minus line b	c 202,481
d Amounts included on line 12, Form 990 but not on line a:	
(1) Investment expenses not included on line 6b, Form 990	
(2) Other (specify):	
Add amounts on lines (1) and (2)	d 0
e Total revenue per line 12, Form 990 (line c plus line d)	e 202,481

**Part IV-B Reconciliation of Expenses per Audited Financial Statements with Expenses per Return**

a Total expense and losses per audited financial statements	a 228,146
b Amounts included on line a but not on line 17, Form 990:	
(1) Donated services and use of facilities	
(2) Prior year adjustments reported on line 20, Form 990	
(3) Losses reported on line 20, Form 990	
(4) Other (specify):	
Add amounts on lines (1) thru (4)	b 0
c Line a minus line b	c 228,146
d Amounts included on line 17, Form 990 but not on line a:	
(1) Investment expenses not included on line 6b, Form 990	
(2) Other (specify):	
Add amounts on lines (1) and (2)	d 0
e Total expenses per line 17, Form 990 (line c plus line d)	e 228,146

**Part V List of Officers, Directors, Trustees, and Key Employees**

(List each one even if not compensated; see Specific Instructions on page 24.)

(A) Name and address	(B) Title and average hours per week devoted to position	(C) Compensation (if not paid, enter -0-)	(D) Contributions to employee benefit plans & deferred compensation	(E) Expense account and other allowances
David Drake 711 Quail Hollow Rd, Ben Lomond, CA 95005	president 4	0	0	0
Charles Preuss 63 Wilton Avenue, Palo Alto, CA 94306	1st Vice Pres. 2	0	0	0
Barbara Dalders 6384 Belmont Court, Mone Sereno, CA 95030	Treasurer 2	0	0	0
Ann Verdi 753 Silverwood Drive, San Jose, CA 95124	Secretary 2	0	0	0
Raig Breon 55 Portola Road, Portola Valley, CA 94026	Exec. Director 40	43,583	0	0

5 Did any officer, director, trustee, or key employee receive aggregate compensation of more than \$100,000 from your organization and all related organizations, of which more than \$10,000 was provided by the related organizations?  Yes  No  
 If "Yes," attach schedule - see Specific Instructions on page 25.

Part VI Other Information (See Specific Instructions on page 26.)		N/A	Yes	No
76	Did the organization engage in any activity not previously reported to the IRS? If "Yes," attach a detailed description of each activity.	76		X
77	Were any changes made in the organizing or governing documents but not reported to the IRS? If "Yes," attach a conformed copy of the changes.	77		X
78a	Did the organization have unrelated business gross income of \$1,000 or more during the year covered by this return?	78a		X
b	If "Yes," has it filed a tax return on Form 990-T for this year?	78b		
79	Was there a liquidation, dissolution, termination, or substantial contraction during the year? If "Yes," attach a statement	79		X
80a	Is the organization related (other than by association with a statewide or nationwide organization) through common membership, governing bodies, trustees, officers, etc., to any other exempt or nonexempt organization?	80a		X
b	If "Yes," enter the name of the organization and check whether it is <input type="checkbox"/> exempt OR <input type="checkbox"/> nonexempt.			
81a	Enter the amount of political expenditures, direct or indirect, as described in the instructions for line 81.	81a		
b	Did the organization file Form 1120-POL for this year?	81b		X
82a	Did the organization receive donated services or the use of materials, equipment, or facilities at no charge or at substantially less than fair rental value?	82a		X
b	If "Yes," you may indicate the value of these items here. Do not include this amount as revenue in Part I or as an expense in Part II. (See instructions for reporting in Part III.)	82b		
83a	Did the organization comply with the public inspection requirements for returns and exemption applications?	83a	X	
b	Did the organization comply with the disclosure requirements relating to quid pro quo contributions?	83b	X	
84a	Did the organization solicit any contributions or gifts that were not tax deductible?	84a		X
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?	84b		
85	501(c)(4), (5), or (6) organizations. a Were substantially all dues nondeductible by members?	85a		
b	Did the organization make only in-house lobbying expenditures of \$2,000 or less? If "Yes" was answered to either 85a or 85b, do not complete 85c through 85h below unless the organization received a waiver for proxy tax owed for the prior year.	85b		
c	Dues, assessments, and similar amounts from members	85c		
d	Section 162(e) lobbying and political expenditures	85d		
e	Aggregate nondeductible amount of section 6033(e)(1)(A) dues notices	85e		
f	Taxable amount of lobbying and political expenditures (line 85d less 85e)	85f		
g	Does the organization elect to pay the section 6033(e) tax on the amount in 85f?	85g		
h	If section 6033(e)(1)(A) dues notices were sent, does the organization agree to add the amount in 85f to its reasonable estimate of dues allocable to nondeductible lobbying and political expenditures for the following tax year?	85h		
86	501(c)(7) orgs. Enter: a Initiation fees and capital contributions included on line 12	86a		
b	Gross receipts, included on line 12, for public use of club facilities.	86b		
87	501(c)(12) orgs. Enter: a Gross income from members or shareholders.	87a		
b	Gross income from other sources. (Do not net amounts due or paid to other sources against amounts due or received from them.)	87b		
88	At any time during the year, did the organization own a 50% or greater interest in a taxable corporation or partnership, or an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? If "Yes," complete Part IX	88		X
89a	501(c)(3) organizations. Enter: Amount of tax imposed on the organization during the year under: section 4911 <u>0</u> ; section 4912 <u>0</u> ; section 4955 <u>0</u>			
b	501(c)(3) and 501(c)(4) orgs. Did the organization engage in any section 4958 excess benefit transaction during the year or did it become aware of an excess benefit transaction from a prior year? If "Yes," attach a statement explaining each transaction.	89b		X
c	Enter: Amount of tax imposed on the organization managers or disqualified persons during the year under sections 4912, 4955, and 4958.			<u>0</u>
d	Enter: Amount of tax on line 89c, above, reimbursed by the organization.			<u>0</u>
90a	List the states with which a copy of this return is filed <u>California</u>	90b		<u>4</u>
b	Number of employees employed in the pay period that includes March 12, 2000 (See inst.)			<u>408</u>
91	The books are in care of <u>Susan Bell</u> Telephone no. <u>(408) 252-3747</u> Located at <u>22221 McClellan Road, Cupertino, CA</u> ZIP code <u>95014-4030</u>			
92	Section 4947(a)(1) nonexempt charitable trusts filing Form 990 in lieu of Form 1041—Check here <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the tax year <u>92</u>			

Part VII Analysis of Income-Producing Activities

(See Specific Instructions on pages 29.)

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(E)
	(A) Business code	(B) Amount	(C) Exclusion code	(D) Amount	Related or exempt function income
33 Program service revenue:					
a Contracted services					4,410
b reversal of prior year grant					800
c Fees for classes & field trips					815
d Water violation fine received					1,768
e					
f Medicare/Medicaid payments					
g Fees and contracts from government agencies					
34 Membership dues and assessments					
35 Interest on savings and temporary cash investments			14	11,277	
36 Dividends and interest from securities			14	38,110	
37 Net rental income (loss) from real estate:					
a debt-financed property					
b not debt-financed property					
38 Net rental income or (loss) from personal property					
39 Other investment income			14	-28,580	
00 Gain or (loss) from sales of assets other than inventory			14	3,737	
01 Net income or (loss) from special events					
02 Gross profit or (loss) from sales of inventory					15,048
03 Other revenue misc.			1	58	
b					
c					
d					
e					
04 Subtotal (add cols. (B), (D), and (E))		0		24,602	22,841
05 TOTAL (add line 104, columns (B), (D), and (E))					47,443

Note: (Line 105 plus line 1d, Part I, should equal the amount on line 12, Part I.)

Part VIII Relationship of Activities to the Accomplishment of Exempt Purposes

(See Specific Instructions on page 30.)

Line No.	Explain how each activity for which income is reported in column (E) of Part VII contributed importantly to the accomplishment of the organization's exempt purposes (other than by providing funds for such purposes).
3a	Audubon contracted with the Santa Clara Valley Water District to provide public outreach to local creek groups regarding Fisheries and Aquatic Habitat Collaborative Effort
3b	A grant given in prior year for the Ulistac nature Preserve, was returned as unneeded
3c	Participation fees were received for birding classes, and there were contributions for field trips received.
3d	A fine against a third party was received by Audubon as a contribution to environmental activities
02	The chapter maintains a nature for its members, selling environmental books, birdseed, and other items related to the Chapter's mission of environmental action and education.

Part IX Information Regarding Taxable Subsidiaries and Disregarded Entities

(See Specific Instructions on page 30.)

(A) Name, address, and EIN of corporation, partnership, or disregarded entity	(B) Percentage of ownership interest	(C) Nature of activities	(D) Total income	(E) End-of-year assets

Please sign here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

(IMPORTANT: See General Instructions U on page 14.)

9/5/2001      Donald R. Price      Chair, Finance Comm

Type or print name      Title

Date      a      Check if self-employed      Preparer's SSN or PTIN

EIN

**SCHEDULE A**  
**(Form 990 or 990-EZ)**

**Organization Exempt Under Section 501(c)(3)**

(Except Private Foundation) and Section 501(e), 501(f), 501(k),  
501(n), or Section 4947(a)(1) Nonexempt Charitable Trust

OMB No. 1545-0047

**2000**

Department of the Treasury  
Internal Revenue Service

**Supplementary Information—(See separate instructions.)**

▶ **MUST be completed by the above organizations and attached to their Form 990 or 990-EZ**

Name of the organization

*Santa Clara Valley Audubon Society*

Employer identification number

*94-6081420*

**Part I Compensation of the Five Highest Paid Employees Other Than Officers, Directors, and Trustees**  
(See page 1 of the instructions. List each one. If there are none, enter "None.")

(a) Name and address of each employee paid more than \$50,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans & deferred compensation	(e) Expense account and other allowances
<i>None</i>				
Total number of other employees paid over \$50,000 . . . . . ▶				

**Part II Compensation of the Five Highest Paid Independent Contractors for Professional Services**  
(See page 1 of the instructions. List each one (whether individuals or firms). If there are none, enter "None.")

(a) Name and address of each independent contractor paid more than \$50,000	(b) Type of service	(c) Compensation
<i>None</i>		
Total number of others receiving over \$50,000 for professional services . . . . . ▶		

**Part III Statements About Activities**

	Yes	No
<b>1</b> During the year, has the organization attempted to influence national, state, or local legislation, including any attempt to influence public opinion on a legislative matter or referendum? If "Yes," enter the total expenses paid or incurred in connection with the lobbying activities ▶ \$ _____ Organizations that made an election under section 501(h) by filing Form 5768 must complete Part VI-A. Other organizations checking "Yes," must complete Part VI-B AND attach a statement giving a detailed description of the lobbying activities.		X
<b>2</b> During the year, has the organization, either directly or indirectly, engaged in any of the following acts with any of its trustees, directors, officers, creators, key employees, or members of their families, or with any taxable organization with which any such person is affiliated as an officer, director, trustee, majority owner, or principal beneficiary:		
<b>a</b> Sale, exchange, or leasing of property?		X
<b>b</b> Lending of money or other extension of credit?		X
<b>c</b> Furnishing of goods, services, or facilities?		X
<b>d</b> Payment of compensation (or payment or reimbursement of expenses if more than \$1,000)? <i>listed on page 4 of Form 990</i>	X	
<b>e</b> Transfer of any part of its income or assets? If the answer to any question is "Yes," attach a detailed statement explaining the transactions.		X
<b>3</b> Does the organization make grants for scholarships, fellowships, student loans, etc.?		X
<b>4a</b> Do you have a section 403(b) annuity plan for your employees?		X
<b>b</b> Attach a statement to explain how the organization determines that individuals or organizations receiving grants or loans from it in furtherance of its charitable programs qualify to receive payments. (See page 2 of the instructions.)		

**Part IV Reason for Non-Private Foundation Status** (See pages 2 through 5 of the instructions.)

The organization is not a private foundation because it is: (Please check only **ONE** applicable box.)

- 5** A church, convention of churches, or association of churches. Section 170(b)(1)(A)(i).
- 6** A school. Section 170(b)(1)(A)(ii). (Also complete Part V, page 5.)
- 7** A hospital or a cooperative hospital service organization. Section 170(b)(1)(A)(iii).
- 8** A Federal, state, or local government or governmental unit. Section 170(b)(1)(A)(v).
- 9** A medical research organization operated in conjunction with a hospital. Section 170(b)(1)(A)(iii). Enter the hospital's name, city, and state ▶ \_\_\_\_\_
- 10** An organization operated for the benefit of a college or university owned or operated by a governmental unit. Section 170(b)(1)(A)(iv). (Also complete the **Support Schedule** in Part IV-A.)
- 11a** An organization that normally receives a substantial part of its support from a governmental unit or from the general public. Section 170(b)(1)(A)(vi). (Also complete the **Support Schedule** in Part IV-A.)
- 11b** A community trust. Section 170(b)(1)(A)(vi). (Also complete the **Support Schedule** in Part IV-A.)
- 12** An organization that normally receives: **(1) more than 33 1/3%** of its support from contributions, membership fees, and gross receipts from activities related to its charitable, etc., functions—subject to certain exceptions, and **(2) no more than 33 1/3%** of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See section 509(a)(2). (Also complete the **Support Schedule** in Part IV-A.)
- 13** An organization that is not controlled by any disqualified persons (other than foundation managers) and supports organizations described in: **(1)** lines 5 through 12 above; or **(2)** section 501(c)(4), (5), or (6), if they meet the test of section 509(a)(2). (See section 509(a)(3).)

Provide the following information about the supported organizations. (See page 5 of the instructions.)

(a) Name(s) of supported organization(s)	(b) Line number from above

- 14** An organization organized and operated to test for public safety. Section 509(a)(4). (See page 5 of the instructions.)

NOTE: You may use the worksheet in the instructions for converting from the accrual to the cash method of accounting.

Calendar year (or fiscal year beginning in)	(a) 1999	(b) 1998	(c) 1997	(d) 1996	(e) Total
15 Gifts, grants, and contributions received. (Do not include unusual grants. See line 28.)	56,411	106,286	56,377	42,847	261,921
16 Membership fees received	20,495	18,272	20,303	16,645	75,715
17 Gross receipts from admissions, merchandise sold or services performed, or furnishing of facilities in any activity that is not a business unrelated to the organization's charitable, etc., purpose	28,643	28,409	0	25,051	82,103
18 Gross income from interest, dividends, amounts received from payments on securities loans (section 512(a)(5)), rents, royalties, and unrelated business taxable income (less section 511 taxes) from businesses acquired by the organization after June 30, 1975	57,118	65,274	50,858	45,058	218,308
19 Net income from unrelated business activities not included in line 18	0	0	0	0	0
20 Tax revenues levied for the organization's benefit and either paid to it or expended on its behalf	0	0	0	0	0
21 The value of services or facilities furnished to the organization by a governmental unit without charge. Do not include the value of services or facilities generally furnished to the public without charge	0	0	0	0	0
22 Other income. Attach a schedule. Do not include gain or (loss) from sale of capital assets	3,624	0	0	4,244	7,868
23 Total of lines 15 through 22	166,291	218,241	127,538	133,845	645,915
24 Line 23 minus line 17	137,648	189,832	127,538	108,794	563,812
25 Enter 1% of line 23	1,663	2,182	1,275	1,338	

26 Organizations described in lines 10 or 11: a Enter 2% of amount in column (e), line 24	26a	11,276
b Attach a list (which is not open to public inspection) showing the name of and amount contributed by each person (other than a governmental unit or publicly supported organization) whose total gifts for 1995 through 1998 exceeded the amount shown in line 26a. Enter the sum of all these excess amounts	26b	
c Total support for section 509(a)(1) test: Enter line 24, column (e)	26c	563,812
d Add: Amounts from column (e) for lines:	26d	226,176
18 218,308 19 0		
22 7,868 26b 0		
e Public support (line 26c minus line 26d total)	26e	337,636
f Public support percentage (line 26e (numerator) divided by line 26c (denominator))	26f	59.88%

27 Organizations described on line 12: a For amounts included on lines 15, 16, and 17, that were received from a "disqualified person," attach a list to show the name of, and total amounts received in each year from, each "disqualified person." Enter the sum of such amounts for each year:	(1998)	(1997)	(1996)	(1995)
b For any amount included in line 17 that was received from a nondisqualified person, attach a list to show the name of, and amount received for each year, that was more than the larger of (1) the amount on line 25 for the year or (2) \$5,000. (Include in the list organizations described in lines 5 through 11, as well as individuals.) After computing the difference between the amount received and the larger amount described in (1) or (2), enter the sum of all these differences (the excess amounts) for each year:	(1998)	(1997)	(1996)	(1995)
c Add: Amounts from column (e) for lines:	15	0	16	0
17 0 20 0 21 0				
d Add: Line 27a total and line 27b total	27c	0	27d	0
e Public support (line 27c minus line 27d total)	27e	0		
f Total support for section 509(a)(2) test: Enter amount on line 23, column (e)	27f	0		
g Public support percentage (line 27e (numerator) divided by line 27f (denominator))	27g	0.00%		
h Investment income percentage (line 18, column (e) (numerator) divided by line 27f (denominator))	27h	0.00%		

28 Unusual Grants: For an organization described in line 10, 11, or 12, that received any unusual grants during 1995 through 1998, attach a list (which is not open to public inspection) for each year showing the name of the contributor, the date and amount of the grant, and a brief description of the nature of the grant. Do not include these grants in line 15. (See page 4 of the instructions.)



Santa Clara Valley Audubon Society  
 Year Ended May 31, 2001

94-6081420  
 Forms 990 and 990A

Schedules

Form 990	Part I, line 8a	Sales of Investments			
		Shares sold	Cost of Sales	Proceeds	Net Gain
	4/6/01 Parnassus F.I.	10.000	615.00	545.63	-69.37
	4/6/01 Bond Fd of Americ.	110.000	5300.63	6775.52	1474.89
	11/8/00 Nicholas Fund	1591.174	50000.00	42324.48	-7675.52
	7/19/00 Oppenheimer Cap	1100.000	9319.82	6910.51	-2409.31
	7/19/00 Vanguard Windsor	1350.000	19306.00	31722.06	12416.06
			<u>84541.45</u>	<u>88278.20</u>	<u>3736.75</u>

Form 990	Part I, line 10C	Sales of Inventory	
	Beginning Inventory June 1, 2000		7174.22
	Purchases		25012.78
	Less ending inventory, May 31, 2001		<u>-11128.90</u>
	Cost of Sales		<u>21058.10</u>
	Sales, taxable		35706.59
	Sales, for resale		400.00
	Total sales		<u>36106.59</u>
	Sales, less cost of sales		<u>15048.49</u>

The Chapter has an environmental store selling items of an environmental and/or educational nature.

Form 990	Part II, Line 22	Grants	
	Program for Livable and Affordable Neighborhoods		7300
	Ventana Sierra Club		750
	Total		<u>8050</u>

Form 990	Part II, Line 54	investments	
	Equities - cost basis \$ 540, shown at market		288.90
	Equity Funds - cost basis 272542.95, shown at market		326072.69
	Fixed Income Funds - cost basis 74,054.07		65153.44
	Closed End Investment Companies - cost basis 84927.36		84940.21
	Total		<u>476455.24</u>

Form 990	Part II, Line 57	fixed assets	
	Office Equipment		7144.00
	Less Accumulated Depreciation		-5510.98
	Office Furnishings		6500.00
	Less Accumulated Depreciation		<u>-1400.00</u>
	Total		<u>6733.02</u>